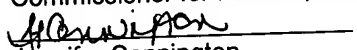


**PATENTS**

**EXPRESS MAIL CERTIFICATION**

I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 and is addressed to the: Mail Stop Patent Application, Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450, on October 2, 2003.

  
Jennifer Connington  
Express Mail Label EV 973658230 US

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Attorney's Docket No: 101221-651

Examiner : to be assigned  
Group Art Unit : to be assigned  
Applicant : Thomas KAROL, Steven DONNELLY  
Appl. No. : to be assigned  
Provisional Appl. No. : 60/416,061  
Filed : herewith  
For : Synergistic Organoborate Compositions and Lubricating  
Compositions Containing Same

Mail Stop Patent Application  
Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**

Dear Sir:

The following sections are being submitted for this Information Disclosure Statement:

- Sec. 1 - Preliminary Statements
- Sec. 2 - Identification of Time of Filing
- Sec. 4 - Statements with Respect to Listing of Information
- Sec. 5 - Statements with Respect to Translations of Non-English Information
- Sec. 6 - Statements with Respect to Copies of Listed Information Items Accompanying  
This Statement
- Sec. 8 - Concise Explanation of Listed Information Items

## Section 1 Preliminary Statements

Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR 1.56.

While this Information Disclosure Statement may be "material" pursuant to 37 CFR 1.56 it is not intended to constitute an admission that any patent, publication or other information referred to therein is "prior art" for this invention unless specifically designated as such.

In accordance with 37 CFR 1.97(b) the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56(a) exists.

## Section 2 Identification of Time of Filing

This Information Disclosure Statement is filed within the first three (3) months of filing and before the issuance of the first official action.

## Section 4 Statements with Respect to Listing of Information

A list of the patents and publications is set forth on the attached Form PTO-1449 - 1 page (modified).

Section 5  
Statements with Respect to Translations of  
Non-English Information

All references disclosed are English language references.

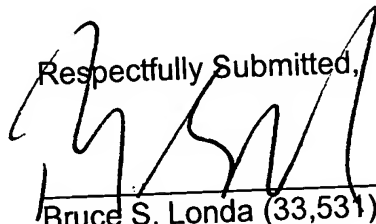
Section 6  
Statements with Respect to Copies of Listed Information  
Items Accompanying This Statement

A copy of each of the items on PTO-1449 (modified) is supplied herewith.

Section 8  
Concise Explanation of Listed Information Items

All references listed on the attached Form PTO-1449 are referred to in the specification, which indicates the degree of relevance.

Respectfully Submitted,



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Facsimile: 212-808-0844

**LIST OF PATENTS AND PUBLICATIONS  
APPLICANT'S INFORMATION  
DISCLOSURE STATEMENT**  
(Form PTO-1449 Modified)

Attorney Docket:  
101221-651

Serial No.  
to be assigned

Applicant:  
Thomas KAROL, Steven DONNELLY

Filing Date:  
herewith

Group:  
to be assigned

**U.S. PATENT DOCUMENTS**

Examiner's Initial		Document Number	Date	Name	Class	Sub Class	Filing Dat If appropriate
	AA	4,389,322	06/21/83	Horodysky	C10M	1/10	06/22/81
	AB	5,641,731	06/24/97	Baumgart et al.	C10M	131/0 4	05/31/95
	AC	2003/0119682	06/26/03	Saini et al.	C10M	161/0 0	07/29/02
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						

**FOREIGN PATENT DOCUMENTS**

		Document Number	Date	Country	Class	Sub Class	Translation Yes N
	AL						
	AM						
	AN						
	AO						
	AP						
	AQ						
	AR						
	AS						

**OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)**

AT  
AU  
AV  
AW  
AX

**DATE CONSIDERED:**

**EXAMINER:**

- **EXAMINER:** Initial if Reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformation and not considered, include copy of this form with next communication to applicant.